

**i. Basic details of the SB such as Regn. No., Registered address of Head Office and branches if any**

Stock Broker Name	Registration Number	Registered Address	Branch Address (if any)	Contact Number	Email id
<b>HARDIK FINTRADE PRIVATE LIMITED</b>	<b>INZ000203537</b> (BSE CASH)(TCM) (BSE CD)(TM) (BSE FO)(TM) (BSE Comm)(TM) <b>IN-DP-184-2016</b> ( CDSL-DP )	1008-1006, 'B' Wing, Atma House, Nr. Times Of India, Opp. OLD RBI, Ashram Road, Navrangpura, Ahmedabad-380009	No Branches	079-26581932 079-26581933 079-26580493 079-25580494	hardikfin@hardikgroup.com

**Escalation Matrix:**

Details of	Contact Person	Address	Contact No.	Email Id
<b>Customer care</b>	Rahul D. Vaghela	Hardik Fintrade Pvt. Ltd. ( HFPL ) 1006-1008 10th Floor, 'B' Wing, Atma House, Nr. Times of India, Opp. OLD RBI, Ashram Road, Navrangpura, Ahmedabad-380009	079-26581932- +91 7861907418 (Whatsapp )	rahul.hardikfin@gmail.com
<b>Head of Customer care</b>	Jignesh Patel	Hardik Fintrade Pvt. Ltd. ( HFPL ) 1006-1008 10th Floor, 'B' Wing, Atma House, Nr. Times of India, Opp. OLD RBI, Ashram Road, Navrangpura, Ahmedabad-380009	079-26581933- +91 7861907418 (Whatsapp )	hardikfintrade.atma@gmail.com
<b>Compliance Officer</b>	Mukesh N. Vaswani ( For DP )  Mahesh K. Aswani ( Stock Broker )	Hardik Fintrade Pvt. Ltd. ( HFPL ) 1006-1008 10th Floor, 'B' Wing, Atma House, Nr. Times of India, Opp. OLD RBI, Ashram Road, Navrangpura, Ahmedabad-380009	079-26581933 +91 9375187701	hardikfin@hardikgroup.com
<b>CEO</b>	Mahesh K Aswani	Hardik Fintrade Pvt. Ltd. ( HFPL ) 1006-1008 10th Floor, 'B' Wing, Atma House, Nr. Times of India, Opp. OLD RBI, Ashram Road, Navrangpura, Ahmedabad-380009	079-26581933 +91 9377704325	hardikfin@rediffmail.com

**ii. Names and contact details of all Key Managerial Personnel including Compliance Officer-**

Sr. No.	Name of the Individual	Designation	Mobile Number	Email Id
1.	Mahesh Khemchand Aswani	Director/KMP/CO	+91 9377704325	maheshaswani2000@yahoo.com

**iii. Step by step procedures for opening an account, filing a complaint on designated email id, and finding out the status of the complaint etc.**

- a) Detailed write up on procedure for opening an account along with Flowchart and video if any (optional).  
 b) Detailed write up on procedure for filing a complaint on designated email id/ Toll-free number along with Flowchart and video if any (optional). Provisions to be made for sharing Ticket Number once the complaint is lodged.  
 c) Detailed write up on procedure for finding out status of the complaint basis Ticket Number etc. along with Flowchart and video if any (optional).

**iv. Details of Authorized Persons**

List of Authorised Person ( AP )										
Sr. No	Authorised Person's Name	Authorised Person Code (Exchange wise)	Constitution	Status  (Approved / Cancelled)	Registered Address				Terminal Details (Exchange Wise)	
					Add	City	State	Pin code	Terminal Allotted (Y/N)	No. of Terminals
1.	Sanjay Shantilal Doshi	Bombay Stock Exchange	Individual	Approved	83, Ground Floor, Sahajanand Market, Ratanpole, Pankorenak a,	Ahmedabad	Gujarat	380001	Y	1
2.	Misae Rich India Pvt. Ltd.	Bombay Stock Exchange	Corporate	Approved	B-1106, Solitaire Corporete Park, Beside Bhaskar House, S.G. Highway, Makarba,	Ahmedabad	Gujarat	380051	Y	1
3.	Amruta Suresh Aswani	Bombay Stock Exchange	Individual	Approved	1006-10 <sup>th</sup> Floor, 'B' Wing, Atma House, Nr. Times Of India, Opp. OLD RBI, Ashram Road, Navrangpur a,	Ahmedabad	Gujarat	380009	N	0

**List of Authorised Persons (AP) Cancelled by Members on Account of Disciplinary Reasons**

Sr. No.	Authorised Person's Name	Status	Authorised Person Cancellation Details	
			Date	Reason
1.	Seema Piyush Faldu	Cencel	Year 2013	Other Reason
2.	Dipti Nitin Kondalkar	Cencel	Year 2018	Other Reason
3.	Mamta Awtaney	Cencel	Year 2018	Other Reason